

Docket No.: M1073-7001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Christian Simon, et al.

Serial No:

10/666,242

Confirmation No: 9501

Filed:

September 22, 2003

For:

LOCAL MASS DISTRIBUTION PARTITIONING FOR

OBJECT RECOGNITION

Examiner:

Not yet assigned

Art Unit:

2623

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 19th day of May, 2005.

Stephanie Godino

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement and Form PTO-1449, including cited references
- Return Receipt Postcard [X]

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

By:

Aaron W. Moore, Reg. No. 52,043

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

Respectfully submitted

One Main Street

Cambridge, Massachusetts 02142

Tel. (617) 395-7000

Date: May 19, 2005

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Stephanie Godino

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

# PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

## PART III Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Aaron W. Moore, Reg. No. 52,043

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, Massachusetts 02142

Tel. (617) 395-7000

Date: May 19, 2005

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FORM PTO-1449/A and B (Modified TRADE	APPLICATION N	IO.: 10/666,242	ATTY. DOCKET NO.: M1073-7001		
INFORMATION DISCLOSURE	FILING DATE:	September 22, 2003	CONFIRMATION NO.: 9501		
STATEMENT BY APPLICANT	APPLICANT:	Christian Simon, et al			
Sheet 1 of 1	GROUP ART UN	IT: 2623	EXAMINER:	Not Yet Assigned	

#### U.S. PATENT DOCUMENTS

Examiner's Cite No.	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	M1	4,903,312*		Sato	02-20-1990	
	M2	4,903,313*		Tachikawa	02-20-1990	
	M3	5,841,902*		Tu	11-24-1998	
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#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Fore Office/	eign Patent Docur	nent Kind	Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document	Translation (Y/N)
		Country	Number	Code	(not necessary)	MM-DD-YYYY	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item	Translation	
Initials	No	(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),	(Y/N)	
		publisher, city and/or country where published.		
	M4	H.D. CHENG and R. DESAI, Scene Classification by Fuzzy Local Moments, International Journal of		
		Pattern Recognition and Artificial Intelligence, Vol. 12, No. 7, Pages 921-938, 1998)		
	M5	R.T. CHIN and C.R. DYER, Model-Based Recognition in Robot Vision, Computing Surveys, Vol. 18, No.1, March 1996		
	M6	R. MUKUNDAN and K.R. RAMAKRISHNAN, Moment Functions in Image Analysis, World Scientific Publishing, Pages 9-15, 1998		
	M7	JAEHWA PARK, VENU GOVINDARAJU and SARGUR N. SRIHARI, OCR In a Hierarchical Feature Space, IEEE Transactions on Pattern Analysis and Machine Intelligence, April 2000, Pages 400-407, Vol. 22 No. 4		
	M8	JOHN T. FAVATA and GEETHA SRIKANTAN, A Multiple Feature/Resolution Approach to Handprinted Digit and Character Recognition, International Journal of Imaging Systems and Technology, Special Issue: Document Analysis and Recognition; WINTER 1996, Pages 304-311, Vol. 7, Number 4		
,	M9	Ø.D. TRIER, A.K. JAIN and T. TAXT, Feature Extraction Methods for Character Recognition—A Survey, Pattern Recognition, Vol. 29, No. 4, Pages 641-662, 1996)		

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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.